

NEW FOR
2011

SUSTAINABLE COMMUNITIES REGIONAL PLANNING GRANTS

Applicant Training Webinar

July 14, 2011

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WRT

WHAT I WILL COVER:

1. Summary of FY 2010 Grant Awards
2. What's New for FY 2011
3. Lessons Learned



The Sustainable Communities Planning Grant Program is part of the federal Partnership for Sustainable Communities, which brings HUD, DOT and the EPA together to ensure that the agencies' policies, programs, and funding consider affordable housing, transportation, and environmental protection together.

2010

2010 Consolidated Appropriations Act

- \$98,000,000 Sustainable Communities Regional Planning Grants

2010 Notice of Funding Available from HUD

- NOFA Issued June 23, 2010
- Applications Due August 23, 2010 (60 Days)
- 177 Complete Application Packages reviewed by HUD
- Awards Announced October 14, 2010 (52 Days)

2011

2011 Appropriations Act

- \$67,000,000 Sustainable Communities Regional Planning Grants

2011 Notice of Funding Available From HUD

- Advance Notice Issued June 20, 2010
- NOFA for Pre-Application expected in July

2010

Summary of Regional Planning Grants

- **45 Awards**
- **25 States**
- **\$2.1 Million Average Award**
- **28 Regional Plans for Sustainable Development (Category 1)**
- **17 Detailed Execution Plans & Programs (Category 2)**

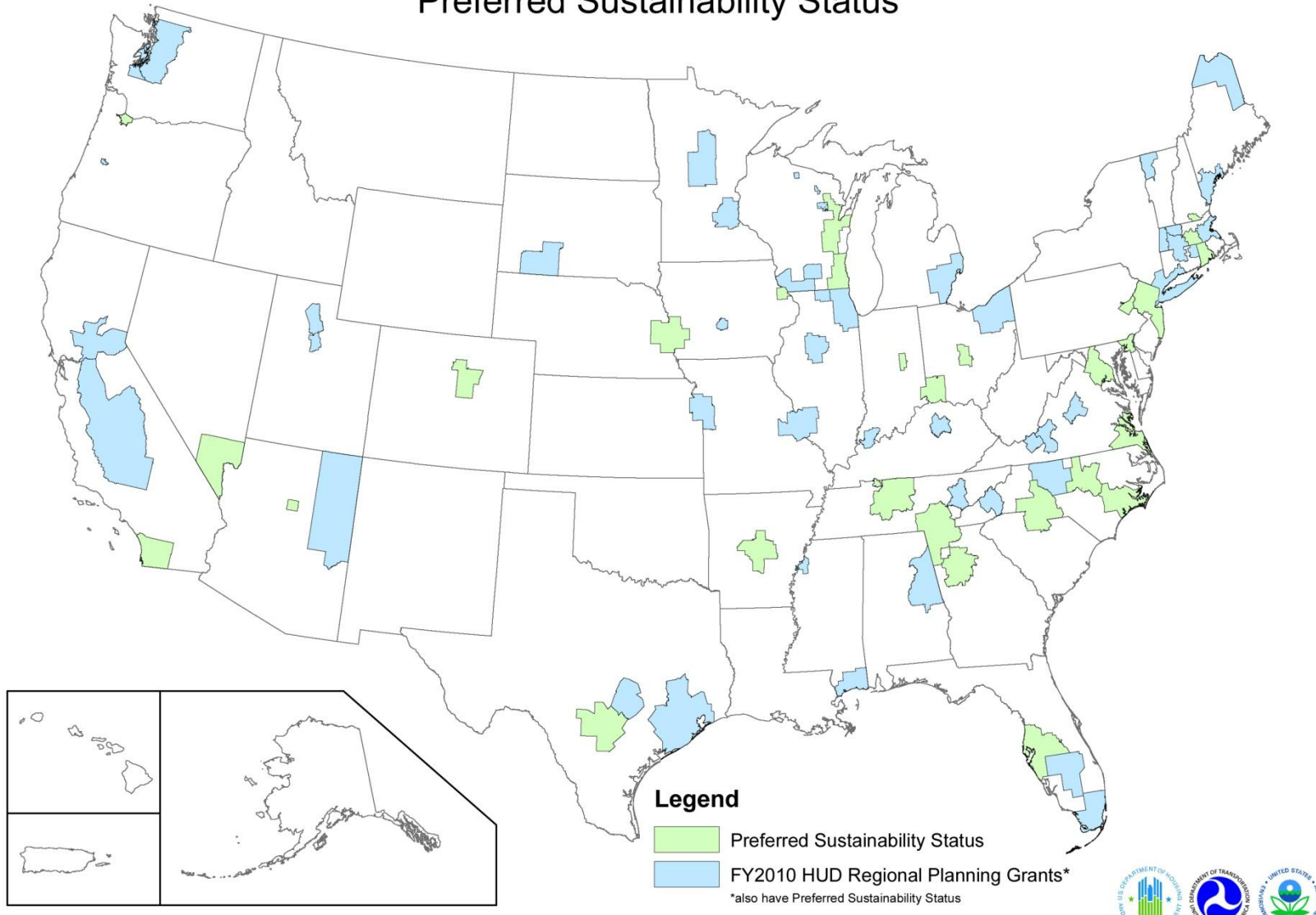
2010

Preferred Sustainability Status

- **29 Regions Designated**
- **24 States**

- Eligible for 2 Bonus Points for FY 2011 HUD Applicants
- Potential to preserve elements of 2010 Proposal in 2011 Application
- Invited to capacity-building & best practices-sharing training opportunities
- Peer-to-Peer learning networks in development

Sustainable Communities Regional Planning Grants Preferred Sustainability Status

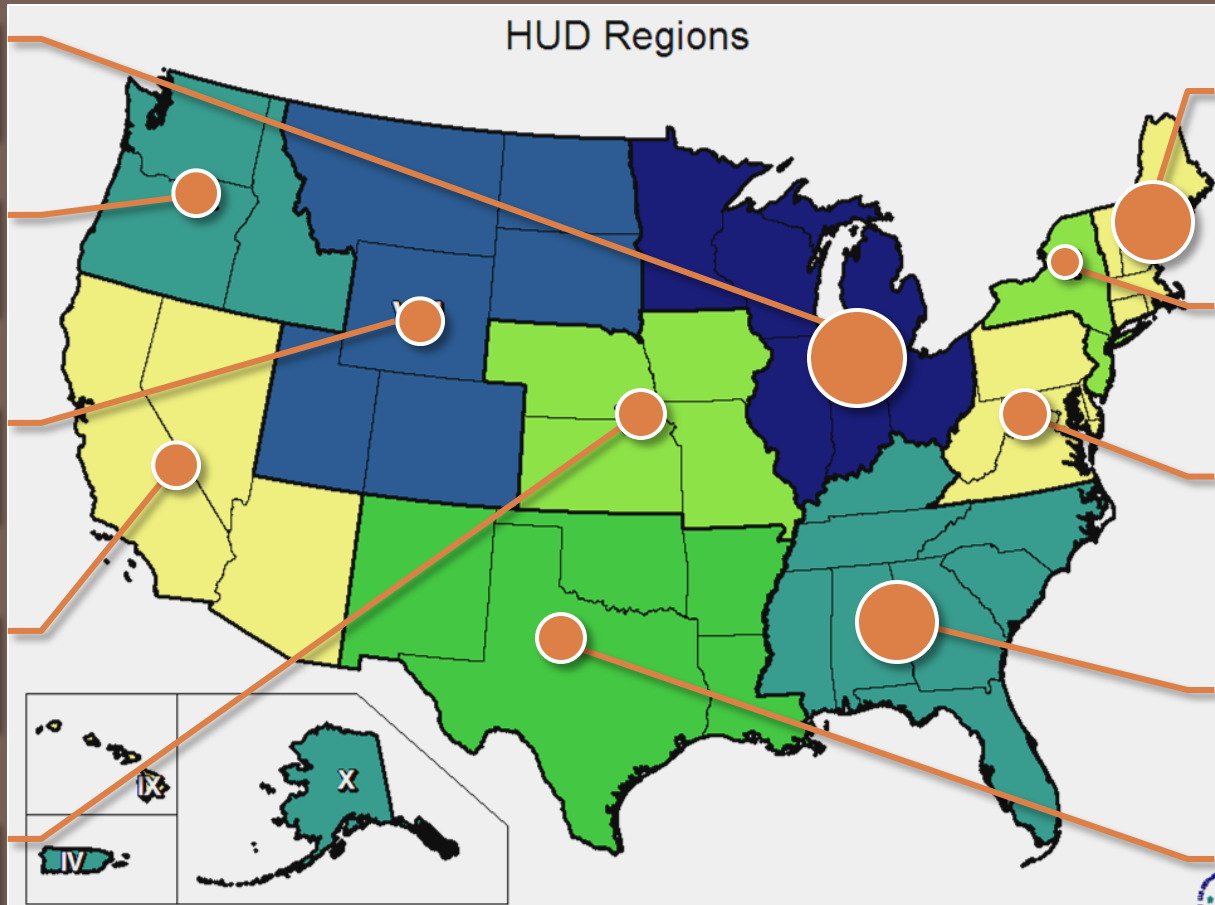


Summary of FY 2010 Grant Awards

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2010 Awards

HUD Regions



7 Category 1
5 Category 2

1 Category 1
2 Category 2

1 Category 1
1 Category 2

1 Category 1
2 Category 2

2 Category 1
1 Category 2

5 Category 1
3 Category 2

1 Category 2

2 Category 1
1 Category 2

7 Category 1

1 Category 1
1 Category 2

2010

\$25 Million for regions with populations less than 200,000
2 Categories: Regional Plans (1) & Execution Plans (2)
Single-step application process
3 Geographic Size Categories (Awards will be made in all)
No “matching funds” required”, but 20% “leverage” required

2011

\$17.5 Million for regions with populations less than 200,000
2 Categories: Regional Plans (1) & Execution Plans (2)
Two-step application process (pre-application)
3 Geographic Size Categories (Awards will be made in all)
20% match requirement (can be cash &/or in-kind)

Grant Award Minimums Increased

2010

Large Metro Regions: Awards \$500,000 to \$5,000,000

Medium-Sized Regions: Awards \$200,000 to \$2,000,000

Small-Sized/Rural Regions: Awards \$100,000 to \$1,000,000

2011

Large Metro-Regions: Awards \$1,000,000 to \$5,000,000

Medium-Sized Regions: Awards \$600,000 to \$3,000,000

Small-Sized/Rural Regions: Awards \$400,000 to \$1,500,000

2011 Advance Notice of Requirements

{ NEW FOR
2011 }

- **New Program Goal:**

Increase Educational Access

- **New Program Outcomes:**

Regional Economic Development Plan

Increased integration of local and regional planning with state-level initiatives

- **New/Modified Definitions:**

Cooperative Agreement

Regions

Social Equity

- **New/Modified Eligible Activities:**

Support Energy Efficiency & Green Building Plans

Studies & Research on Legal Authority

Decrease from 50% to 40% of Category 2 funds allowed for site-specific planning and design of capital projects or programs

2011 Advance Notice of Requirements

{ NEW FOR
2011 }

- **New Web-Based Mapping Tool:**

- To determine Region's Population through HUD website

- **New minimum score requirement:**

- 75 points to be reviewed

- **New HUD Discretion:**

- Awarded for equitable distribution, range of geographies, etc.

New Category 2 Application Requirement:

Must submit the working "Regional Plan for Sustainability" that is the basis for the proposed work and has been accepted within the region as the framing document for regional planning. Failure to submit will automatically place applicant in Category 1.

2011 Advance Notice of Requirements

{ NEW FOR
2011 }

Pre-Application Content:

1. Standard Form 424
2. Map of Project Boundary
3. Narrative Statement with funding request*
4. Declaration of population size*
5. List of Core Consortium Partners*
6. Working Regional Plan for Sustainability (Category 2)

Full application will be due within 30-45 days of the pre-application deadline.

* Items may be combined in a single narrative statement

Category 2: Detailed Execution Plans & Programs

Pre-Application Evaluation of Existing Sustainability Plan:

- Degree that existing plan reflects Livability Principles
- Coordination of housing & transportation investments
- Economic Development targeting toward sustainability
- A range of enforceable conservation priorities
- A thorough set of scenario analysis & maps
- Analysis of impediments to fair housing & economic opportunity
- Comprehensive strategy to increase affordable housing
- A commitment to deepen the use of public transportation

RATING FACTOR	2010	2011	+/-
1 Capacity of the Applicant and Relevant Organizational Experience	10	15	+5
a. Organizational Capacity and Qualification	7	8	+1
b. Capability and Qualification of Key Personnel	3	4	+1
c. Capacity to Address Economic and Social Disparities	N/A	3	+3
2 Needs/Extent of the Problem	10	10	=
a. Rating Factor 2 Form	4	4	=
b. Narrative Statement of Need	5	5	=
c. Area of Severe Economic Distress	1	1	=
3 Soundness of Approach	55	50	-5
a. Category 1			
1. General Description of Proposed Regional Plan for Sustainable Development	10	8	-2
2. Process to Develop a Regional Plan for Sustainable Development	17	15	-2
3. Governance and Management	15	15	=
4. Project Implementation Schedule	5	4	-1
b. Category 2			
1. General Description of Proposed Detailed Execution Plan and Program for RPSD	10	8	-2
2. Process to Improve or Further Develop Your Existing RPSD	17	15	-2
3. Governance and Management	15	15	=
4. Project Completion Schedule	5	4	-1
c. Budget Proposal	3	4	+1
d. HUD's Departmental Policy Priorities	5	4	-1
4 Leveraging Resources	5	7	+2
Above 50% Match (*with Federal Leverage)	5*	3	-2
Integration of State Planning Activities	N/A	2	+2
Integration of other Federal Planning Activities	N/A	2	+2
5 Achieving Results and Program Evaluation	20	18	-2
Rating Factor 5 Form	20	8	-12
Narrative Proposal	N/A	10	+10
6 Preferred Sustainability Status	N/A	2	+2
7 RC/EZ/EC-II : Empowerment Zone	N/A	2	+2
Maximum Point Potential	102	104	+2
Minimum Points for Final Review	N/A	75	

Lessons Learned

Select appropriate funding category

- Only regions with existing Sustainability Plans will be eligible for Category 2
- Only applicants with direct verification from HUD may apply for Category 2

Develop an effective and efficient Consortium

- Get everyone on the same page and working together
- Meet consistently and maintain communication

Meet HUD's Requirements

- Be specific and clearly document proposed program activities
- Rating Factor 3: Soundness of approach accounts for nearly 50% of potential points
- Focus on 2011 additions: Access to quality education, social equity, environmental justice, economic development, and plan integration

Lessons Learned

Create a unique application that will set the region apart

- Robust partnerships
- Region-specific outcomes

Develop a work plan that makes sense for your region

- Create connections between identified needs, proposed approaches, and outcomes
- Develop a logical work sequence/process that your Consortium can execute

Web Resources:

www.sustainablecommunities.gov

www.hud.gov Click on “Program Offices” : “Sustainable Housing & Communities”



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